

05-14-02

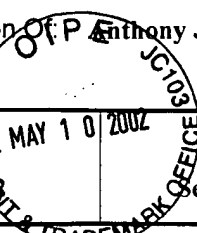
3711

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
0112300-739

In Re Application Of P Anthony J. Baerlocher et al.

Serial No. 09/964,168



Filing Date  
September 26, 2001

Examiner  
Unknown

Group Art Unit  
3711

Title: GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - PTO 1449; References Cited; Transmittal Letter (General - Patent Pending); Preliminary Amendment; Certificate of Express Mailing and Postcard Return Receipt.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
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*Adam H. Masia*

Signature

Dated: 5/10/02

Adam H. Masia (Reg. No. 35,602)  
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P. O. Box 1135  
Chicago, Illinois 60690

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Anthony J. Baerlocher et al.**

Docket No.

0112300-739

Serial No.

09/964,102

Filing Date

September 26, 2001

Examiner

Unknown

Group Art Unit

3711

Invention:

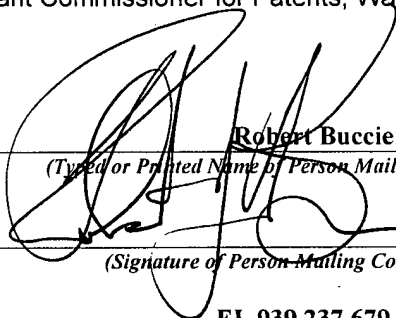
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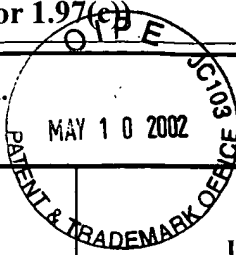
Transmittal Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - PTO 1449; References Cited; Transmittal Letter (General - Patent Pending); Preliminary Amendment; Certificate of Express Mailing and Postcard Return Receipt.

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
0112300-739In Re Application Of: **Anthony J. Baerlocher et al.**Serial No.  
09/964,102Filing Date  
September 26, 2001Examiner  
UnknownGroup Art Unit  
3711Title: **GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS****RECEIVED**  
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TECHNOLOGY CENTER R3700Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0112300-739

In Re Application: **Anthony J. Baerlocher et al.**

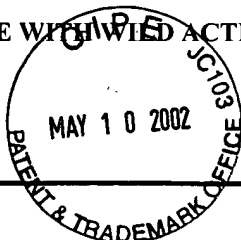
Serial No.  
09/964,102

Filing Date  
September 26, 2001

Examiner  
Unknown

Group Art Unit  
3711

**GAMING DEVICE WITH ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS**



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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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\*This certificate may only be used if paying by deposit account.

*Adam H. Masia*  
*Signature*

Dated: *5/10/02*

**Adam H. Masia (Reg. No. 35,602)**  
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**P. O. Box 1135**  
**Chicago, Illinois 60690**

CC:

Er. inman  
7-19-02

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Anthony J. Baerlocher et al.  
Appl. No.: 09/964,102  
Filed: September 26, 2001  
Title: GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD  
TERMINATION SYMBOLS  
Art Unit: 3711  
Examiner: Unknown  
Docket No.: 0112300-739

**TYPE**  
MAY 10 2002  
PATENTS TRADEMARK OFFICE

Honorable Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
5,308,065	May 3, 1994	Bridgeman et al.
5,332,228	July 6, 1994	Schultz
5,423,539	June 13, 1995	Nagao
5,431,408	July 11, 1995	Adams
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6,059,658	May 9, 2000	Mangano et al.
6,089,977	July 18, 2000	Bennett
6,120,031	September 19, 2000	Adams
6,159,095	December 12, 2000	Frohm et al.

6,190,254 B1	February 20, 2001	Bennett
6,220,959 B1	April 24, 2001	Holmes, Jr. et al.
6,251,013 B1	June 26, 2001	Bennett
6,299,170 B1	October 9, 2001	Yoseloff
6,299,165 B1	October 9, 2001	Nagano
6,302,398 B1	October 16, 2001	Vecchio
6,311,976 B1	November 6, 2001	Yoseloff et al.
6,322,078 B1	November 27, 2001	Adams
6,358,144 B1	March 19, 2002	Kaddlic et al.

#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
AU 199717601 B2	September 10, 1997	Australian
AU 199917318 A1	September 9, 1999	Australian
WO 9732285	September 4, 1997	Australian
EP 0984408 A2	March 8, 2000	European
WO 00/66235	November 9, 2000	PCT
WO 00/76606 A1	December 21, 2000	PCT

#### **OTHER DOCUMENTS**

Wild Streak Advertisement written by WMS Gaming, Inc., published in 2001.

Penguin Pays Advertisement written by Aristocrat Incorporated, published in 1998.

Black Swan Paytable Display written by IGT, published prior to 2001.

Jackpot Party Brochure and Articles written by WMS Gaming, Inc, published in 1988.

The Basics of Winning Video Poker (Chapter VI Deuces Wild & Chapter VII Jokers Wild) written by J. Edward Allen, published in 1990.

Enchanted Unicorn Advertisement written by IGT, published in 2001.

Introducing the "Smiling Ape" Machine Advertisement (including Joker's Wild Poker description) written by IGT, published prior to 2001.

Your Real Key to Gaming Success Advertisement (including Roll Over Beethoven and Wild Fortune) written by Olympic Video Gaming, published dated unknown.

Description of Symbol Feature in Australian UFO Gaming Machine written by Barcrest Ltd., published in 1995.

REEL MAGIC™ Gaming Machine Description written by IGT, available in 1986.

ENCHANTED FOREST™ Gaming Description from Aristocrat, available in 1994.

Joker's Wild Advertisement written by IGT, published prior to 2001.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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